

Electronic Acknowledgement Receipt

EFS ID:	5432779
Application Number:	10736723
International Application Number:	
Confirmation Number:	3576
Title of Invention:	Stock portfolio and method
First Named Inventor/Applicant Name:	Kalman A. Lifson
Customer Number:	05073
Filer:	David Gerald Wille/Sue LeRoy
Filer Authorized By:	David Gerald Wille
Attorney Docket Number:	020776.0119
Receipt Date:	02-JUN-2009
Filing Date:	15-DEC-2003
Time Stamp:	09:06:19
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	no
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File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1		0119RespNonComplAmd.PDF	679326 830d1528c93f1c76097786e8209f23aef67964	yes	17

	Multipart Description/PDF files in .zip description		
	Document Description	Start	End
	Amendment/Req. Reconsideration-After Non-Final Reject	1	1
	Specification	2	2
	Claims	3	8
	Applicant Arguments/Remarks Made in an Amendment	9	17

Warnings:

Information:

2	Drawings-only black and white line drawings	0119ReplDraws.PDF	38696	no	2
			8a0f08a23ed9278a4aaf0cc3669b5f708c9a0357		

Warnings:

Information:

3	Miscellaneous Incoming Letter	LifsonPOA.PDF	62871	no	2
			dc05d1e7030bfac0348b5249d225b0c0f191706		

Warnings:

Information:

4	Miscellaneous Incoming Letter	0119SpearPOA/trTestamentary.PDF	112069	no	3
			28e89e8c13a0b610b99099461bd1b51a00185f30		

Warnings:

Information:

Total Files Size (in bytes):			892962
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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.